



- ◆ Price:  
£69 per user + GST/VAT  
(volume discounts available)
- ◆ Language Availability:  
English
- ◆ Total Length:  
180 minutes across  
4 modules + test

## Description

The first part of the course examines the products used and the income structures employed by asset managers as well as looking at the regulatory structures surrounding the business. The second module identifies the key business risks that one expects to encounter and the final two modules look in some depth at the essential internal controls which need to be in place. This includes Macro Investment Decisions, Product Design, Marketing, Legal documentation, Client Mandates and the overall Investment Process. It concludes with an examination of The Dealing Function, Portfolio Management, Valuation, Operations, Redemption and Performance Attribution.

## Target Audience

Auditors and those involved in determining the existence and adequacy of internal controls within the world of asset management.

## Certification & Reporting

All of the courses running on our platform can issue course completion certificates automatically to the users where they have obtained the learning objectives. Where required we can provide copies to nominated Compliance and Human Resources teams.

For custom branded courses, the certificate can also be branded to your organisation.



Where requested, we also provide detailed course completion reporting to Compliance and/or Human Resources staff so that they can track the progress of users. Reporting can be customised to your specific needs and reporting frequency. We find that clients are increasingly asking for more reporting, as they find that our reports can help them as evidence of course completions for:

- Group Compliance ✓
- Internal Audit ✓
- External Audit ✓
- Regulators ✓

Export User Information

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S. No.	Username	Email	Progress	Edit Profile
1	John Smith	email1@learndash.com	<input type="text"/>	John Smith's Profile
2	Snow White	email2@learndash.com	<div style="width: 20%; background-color: #90EE90; border: 1px solid #ccc;"></div>	Snow White's Profile
3	Kloe	email3@learndash.com	<input type="text"/>	Kloe's Profile
4	Justin	email4@learndash.com	<div style="width: 100%; background-color: #32CD32; border: 1px solid #ccc;"></div>	Justin's Profile

## PC and Browser Compatibility

Google Chrome (30+), Apple Safari (V7+), Mozilla Firefox (V25+), Microsoft Internet Explorer (V9+) and Microsft Edge. We produce Adobe Flash (10.3+) and HTML5 versions of each course. Our courses contain audio narration.

## Headphones

As many people work in an open plan environment, many of our clients like to purchase headphones for staff to complete their courses without disturbing other team members. For orders over 50 users, we are able to produce a range of headphones, branded with your company logo, as a package alongside you course purchase.



## Our Feedback

**“It was a great experience to participate in a professional e-learning course.”**

**“Good presentation, interactive implementation makes education process more interesting.”**

**“Very clear and well structured. The examples are excellent!”**

