



- ◆ Price:  
£69 per user + GST/VAT  
(volume discounts available)
- ◆ Language availability:  
English
- ◆ Total Length  
180 minutes across 4 modules

## Description

The course starts by discussing the philosophy of a risk based approach to internal audit and then moves on to explain how to plan and control an audit and identify risks in financial services. There is a focus on the IIA Code and how it affects the internal auditor, the preparation and structure of workpapers and basic interview techniques. It concludes with a review of Root Cause Analysis and reviews the best way to pull together the issues that have been found and suggests a methodology on how to prepare a report.

## Target audience

The course is aimed at those who are new to internal audit in financial services and those in jurisdictions which are beginning to embrace the concept as they move from a more traditional "tick and bash" approach.

## Certification

All of the courses running on our platform can issue course completion certificates automatically to the users where they have obtained the learning objectives. Where required we can provide copies to nominated Compliance and Human Resources teams.

## Reporting

Where requested, we also provide detailed course completion reporting to Compliance and/or Human Resources staff so that they can track the progress of users. Reporting can be **customised** to your specific needs and reporting frequency. We find that clients are increasingly asking for more reporting, as they find that our reports can help them as evidence of course completions for:

✓ Group Compliance

✓ Internal Audit

✓ External Audit

✓ Regulators